

Agenda Audit Committee

Please note that it has not been possible to upload the Audit Committee papers this time as an interactive bundle. Due to the size of the documentation there will be a number of separate bundles covering sequential parts of the agenda.

Date	10/06/2021
Time	10:00 – 15:45
Location	Virtual Microsoft Teams
Chair	Medwyn Hughes
Description	Please note that there will be a Private meeting at 9am with External and Internal Auditors prior to the commencement of the formal meeting with a 10 minute comfort break preceding the formal start of the Committee

0 OPENING BUSINESS – OPEN SESSION

10:00

1 AC21/24 Apologies for Absence

10:00

Nicola Jones, Acting Deputy Head of Internal Audit

2 AC21/25 Declarations of Interest

10:01

3 AC21/26 Procedural Matters

10:02

The Audit Committee is asked to:-

1. confirm the Minutes of the last meeting of the Committee held on 18.3.21 as a correct record and to discuss any matter arising;
2. review the Summary Action Log;
3. note the details of breaches (in terms of publication of Board/Committee papers) to the Standing Orders;
4. note the approval (for onward submission to the May Board) via Chair's Action of the changes to Standing Orders, the Scheme of Reservation and Delegation and Standing Financial Instructions following the updates to the Models as issued by Welsh Government.
5. to note that following discussion at the Audit workshop on 25 May the refined management response to the External Audit Wellbeing of Future Generations Report will be circulated to Members during June.
6. note and receive the Targeted Intervention Steering Group Terms of Reference; and
7. note that following on from previous discussions an Emergency Scheme of

Reservation and Delegation has been drafted and will be presented to the September meeting.

4 AC21/27 Report on issues Discussed in Previous Private Committee Session

10:07 The Audit Committee is asked to note the report.

5 AC21/28 External Audit – Audit Wales Reports – Andrew Doughton, Amanda Hughes and Dave Thomas

10:09

The Audit Committee is requested to:

- Receive the report on the annual accounts.
- Receive and discuss the audit reports.

6 AC21/29 Executive Director Briefing on Financial Accounts – Sue Hill

10:29

At its meeting on 20th May 2021, the Board delegated authority for approval of the 2020–21 annual financial statements to the Audit Committee (Minute 21.87 refers).

The Audit Committee is recommended to approve the Health Board’s 2020–21 annual financial statements together with the Letter of Representation following consideration of the Audit Wales Audit of Financial Statements Report and confirmation of the Auditor General’s intended opinion on the financial statements.

7 AC21/30 End of Year Reporting – Dawn Sharp

10:49

The Audit Committee is asked to:-

1. Approve the Annual Report for submission to Welsh Government;
2. Approve the suite of Committee Annual Reports

8 AC21/31 Internal Audit Report – Dave Harries

10:59

The Audit Committee is asked to:-

- 1) receive the progress report and note and receive the Head of Internal Audit opinion and annual report for 2020–21; and
- 2) note and receive the following Limited Assurance Reports:-

- Interim Staffing (Sue Green/Sue Hill) (D)
- Security Compliance (Sue Green) (E)

- Violence and Aggression (Sue Green) (F)
- Water Management – Statutory Compliance – Mark Wilkinson (G)
- Control of Contractors – Mark Wilkinson (H)

9 AC21/32 Clinical Audit Plan – Melanie Maxwell

11:44 The Committee is asked to approve the draft Clinical Audit Plan 2021/22
The Committee is also requested to note that this report is being presented to the Audit Committee before the QSE Committee.

10 AC21/33 Risk Management Strategy/Policy – Simon Evans–Evans

11:54 Audit Committee is requested to:

- 1) Approve the Health Board`s updated Risk Management Strategy and Policy;
- 2) Approve the proposed Risk Appetite for 2021/22;
- 3) Approve the revised risk appetite for use in exceptional circumstances, recognising the appropriate governance approval route from Gold Command.

11 AC21/34 Chair's Assurance Report – Risk Management Group – Simon Evans–Evans

12:09 To receive the report.

12 AC21/35 Corporate Risk Register – Simon Evans–Evans

12:19 The Committee is asked to:

- 1) Review and note the progress on the management of the Corporate Tier 1 Operational Risks.

13 AC21/36 Board Assurance Framework – Dawn Sharp

12:29 That the Committee:-

- (1) note the progress on the Principal Risks as set out in the Board Assurance Framework (BAF); and
- (2) note the remapping of BAF risks to the revised Annual Plan 2021–22

14 Break for Lunch

12:39

15 AC21/37 Proposed Integrated Governance Framework – Simon Evans–Evans / Louise Brereton

13:09 The Committee is asked to approve the suite of documents for presentation to the Board

16 AC21/38 Schedule of Financial Claims presented in Public Session – Matt Joyes

13:29 The Committee is asked to receive this report for assurance.

17 AC21/39 Issues of Significance for reporting to Board

13:39 Members are asked to raise any issues of significance for reporting to the Board via the Chair's Assurance Report.

18 AC21/40 Date of Next Meeting – 28th September 2021

13:41

19 AC21/41 Exclusion of Press and Public

13:42 Resolution to Exclude the Press and Public – "That representatives of the press and other members of the public be excluded from the remainder of this meeting having regard to the confidential nature of the business to be transacted, publicity on which would be prejudicial to the public interest in accordance with Section 1(2) Public Bodies (Admission to Meetings) Act 1960."

20 Comfort Break

13:43